

ALPS Application for Lawyers' Professional Liability Insurance

Includes the prosecution or defense of any civil action (i.e. not criminal in nature).

If more than one attorney practices in this area, one supplement will suffice. All attorneys indicating this area of practice on their IAS must be listed on this supplement.

Name of Applicant Firm: _____

1. Identify the following regarding the attorneys in the firm who handle civil litigation. If necessary, use a separate sheet for additional names:

Attorneys Name	Years as a Litigator	Total # of Cases Handled	Average Annual Caseload

Types of Cases	% Plaintiff	% Defense

Types of Cases	% Plaintiff	% Defense

2. What percentage of matters are settled before trial? _____

3. What is the highest dollar value of judgment or settlement for a case the firm has handled in the past five (5) years? _____

4. Does your firm generally assign more than one attorney to actively participate in the litigation of a case? Yes No

If yes, what percentage of cases have two or more attorneys actively participating in litigation? _____

5. Does your firm have a policy of providing the client with copies of all pleadings and correspondence sent or received by the firm during the course of the litigation? Yes No

If no, please explain.

6. Prior to agreeing upon settlement terms, does your firm obtain written authority or written confirmation from the client regarding the terms and conditions upon which the case will be settled, including any monetary amount or other consideration to be paid or received by the client? Yes No

If no, please explain.

7. What percentage of cases are handled on a contingency fee basis? _____

8. Do you employ or engage an investigator in respect to your litigation practice? Yes No

If yes, please explain.

9. Does your firm refer cases to other law firms or engage in co-counseling with other law firms for which your firm retains a percentage of the fees, work and/or both? Yes No

If yes, provide the estimated number and types of cases. _____

10. Does the firm represent plaintiff class actions? Yes No

If yes, please answer the following:

a. Do the matters involving class actions constitute more than 25% of all work done by the firm? Yes No

b. Does any attorney who handles class action cases have less than 10 years experience in these types of cases? Yes No

c. Do the classes represented consist of more than 100 members or potential members? Yes No

d. Are any of the class action cases handled or venued outside of the jurisdiction in which the firm's principal office is located? Yes No

I understand information submitted herein becomes part of my firm's Professional Liability Application and is subject to the same terms and conditions.

Applicant Signature _____ Position _____ Date (mm/dd/yyyy) _____

Producer's Signature _____ Date (mm/dd/yyyy) _____